

## Fermi and non-Fermi liquids: 2

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### Fermi liquid theory

- The Fermi liquid as a quantum protectorate, adiabatic continuity.
- The energy functional, self-consistency, zero-sound.
- The electron and the quasiparticle.

### Beyond the Fermi liquid

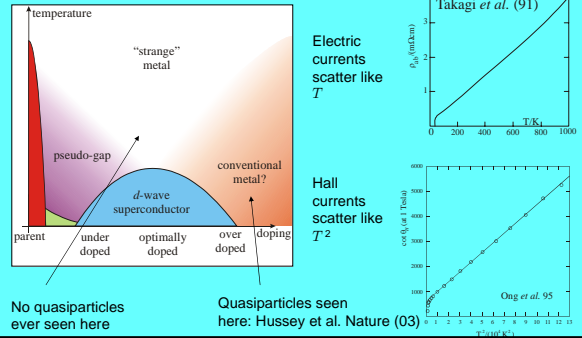
- Luttinger liquids:
  - Special features of 1D, spin-charge separation.
- Quantum criticality:
  - The Reizer singularity, metals on the border of magnetism.

### References:

A. J. Schofield, "Non-Fermi liquids", *Cont. Phys.* **40**, 95 (1999);  
C. M. Varma *et al.* "Singular Fermi liquids", *Phys. Rep.* **361**, 267 (2002).

## The search for "non-Fermi liquid" metals

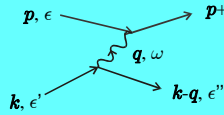
Motivated by the puzzle of the "high temperature" cuprate superconductors



## Destabilizing the Fermi liquid:

Change variables in the Fermi golden rule scattering rate:

$$\frac{1}{\tau_c} = \frac{2\pi}{\hbar} \int_0^\epsilon g_F \omega \tilde{\omega} \int_{\omega/\hbar v_F}^{2k_F} \frac{q^{d-1} dq}{(2\pi/L)^d} \frac{|D(q, \omega)|^2}{(\hbar v_F q)^2}$$



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Consider first energy/momentum independent scattering:  $D(q, \omega) = V$

$$\bullet \text{ 1D } \frac{1}{\tau_c^{1D}} \sim \int_0^\epsilon \omega \tilde{\omega} \int_{\omega/\hbar v_F}^{2k_F} \frac{dq}{q^2} |V|^2 \sim \int_0^\epsilon d\omega \sim \epsilon$$

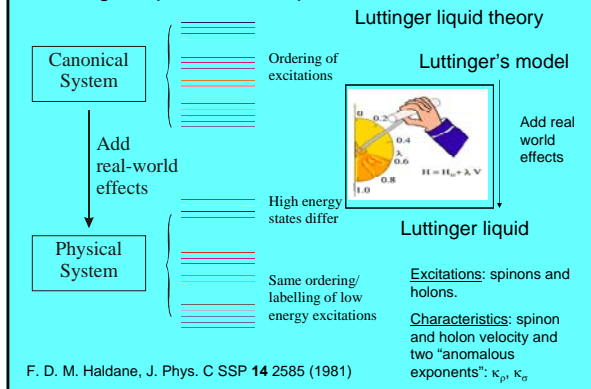
So the Landau criterion (that the decay rate vanishes faster than the energy of the quasiparticle) is not satisfied at lowest order. This is an indication of a sickness in one-dimensional Fermi liquids: Luttinger liquids.

$$\bullet \text{ 2D } \frac{1}{\tau_c^{2D}} \sim \int_0^\epsilon \omega \tilde{\omega} \int_{\omega/\hbar v_F}^{2k_F} \frac{q^{d-1} dq}{q^2} |V|^2 \sim \int_0^\epsilon \omega \ln \omega d\omega \sim \epsilon^2 \ln \epsilon$$

Forward scattering (small  $q$ ) in two-dimensions leads to non-analytic corrections to Fermi liquid theory. Surprisingly this seems to have been noticed only very recently: A. V. Chukukov *et al.* *cond-mat/041228*.

• 3D Need to make  $D(q, \omega)$  singular: quantum criticality.

## Luttinger liquids – a new protectorate in 1D



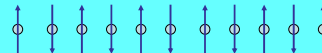
## Simple picture of spin-charge separation

(Proper treatment: bosonization of the 1D interacting metal)

$$\hat{H}_{tJ} = \hat{P}_{n_i=2} \left[ -t \sum_{\sigma, \langle i,j \rangle} \hat{c}_{i,\sigma}^\dagger \hat{c}_{j,\sigma} + J \sum_{\langle i,j \rangle} \hat{S}_i \cdot \hat{S}_j \right] \hat{P}_{n_i=2}$$

$tJ$  model in 1D

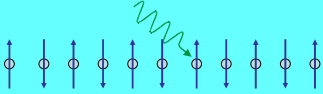
- no double occupancy
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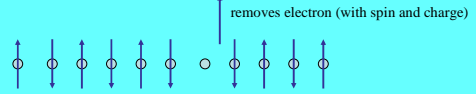
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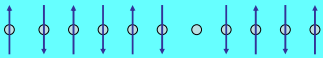
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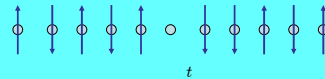
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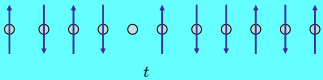
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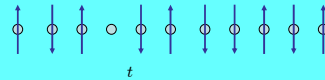
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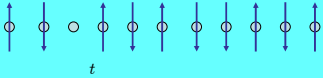
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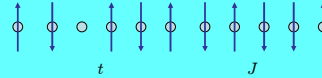
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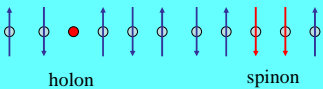
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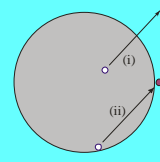
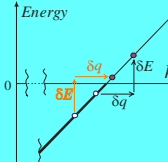
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Special properties of 1D



Particle hole excitations at fixed *q*  
"all" have the same kinetic energy.

Not the case in high dimensions.

So what? Interactions can typically be written in terms of density waves

$$\sum_{\mathbf{r}, \mathbf{r}'} \mathbf{V}(\mathbf{r} - \mathbf{r}') \hat{\rho}(\mathbf{r}) \hat{\rho}(\mathbf{r}') \rightarrow \sum_{\mathbf{k}, \mathbf{q}, \mathbf{q}'} \tilde{\mathbf{V}}(\mathbf{q}) \hat{\rho}_{\mathbf{k}+\mathbf{q}}^\dagger \hat{\rho}_{\mathbf{k}}^\dagger \hat{\rho}_{\mathbf{k}-\mathbf{q}} \hat{\rho}_{\mathbf{k}} = \sum_{\mathbf{q}} \tilde{\mathbf{V}}(\mathbf{q}) \hat{\rho}_{\mathbf{q}} \hat{\rho} - \mathbf{q}$$

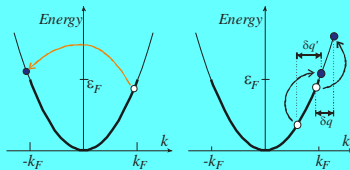
A density wave is a linear combination of particle-hole excitations all with the same wavevector but different starting points

$$\hat{\rho}_{\mathbf{q}} = \sum_{\mathbf{k}} \hat{c}_{\mathbf{k}+\mathbf{q}}^\dagger \hat{c}_{\mathbf{k}}$$

→ In 1D a density wave can be an eigenstate of both potential and kinetic energy

Not strictly correct...

Inter-branch processes and band curvature spoil this property.



The Luttinger model explicitly removes these processes. Putting them back is part of the "real-world" effects added adiabatically.

1 branch Luttinger model:

J. M. Luttinger, J. Math Phys. 4, 1154 (1963).

$$\hat{\rho}(\mathbf{q}) = \sum_{\mathbf{k}} \hat{c}_{\mathbf{k}+\mathbf{q}}^\dagger \hat{c}_{\mathbf{k}}$$

$$[\hat{\rho}(\mathbf{q}), \hat{\rho}(\mathbf{q}')] = \delta_{\mathbf{q}, -\mathbf{q}'} \sum_{\mathbf{k}} (\hat{n}_{\mathbf{k}-\mathbf{q}} - \hat{n}_{\mathbf{k}})$$

$$= \delta_{\mathbf{q}, -\mathbf{q}'} \sum_{\mathbf{k}} (\hat{n}_{\mathbf{k}-\mathbf{q}} - \hat{n}_{\mathbf{k}}) \rightarrow \delta_{\mathbf{q}, -\mathbf{q}'} \sum_{\mathbf{k} > k_A} (\hat{n}_{\mathbf{k}-\mathbf{q}} - \hat{n}_{\mathbf{k}})$$

$$\rightarrow \delta_{\mathbf{q}, -\mathbf{q}'} \left\{ \sum_{\mathbf{k}_A > \mathbf{k} > \mathbf{k}_A - \mathbf{q}} \hat{n}_{\mathbf{k}} - \sum_{\mathbf{k} > \mathbf{k}_A} (\hat{n}_{\mathbf{k}} - \hat{n}_{\mathbf{k}}) \right\} = \frac{\delta_{\mathbf{q}, -\mathbf{q}'} q L}{2\pi}$$

$$[\hat{\rho}(\mathbf{q}), \hat{\rho}(\mathbf{q}')] = \delta_{\mathbf{q}, -\mathbf{q}'} \frac{qL}{2\pi}$$

So we can define a set of bosons  $\hat{b}_{\mathbf{q}}^\dagger = \sqrt{\frac{2\pi}{Lq}} \hat{\rho}_{\mathbf{q}}$   $\hat{b}_{\mathbf{q}} = \sqrt{\frac{2\pi}{Lq}} \hat{\rho} - \mathbf{q}$

and use them to write (restoring spin) the interacting Hamiltonian

$$\hat{H} = \sum_{\mathbf{q}, \sigma} v_F q \hat{b}_{\mathbf{q}, \sigma}^\dagger \hat{b}_{\mathbf{q}, \sigma} + \sum_{\mathbf{q}, \mathbf{q}', \sigma} \frac{\mathbf{V}(\mathbf{q}) \mathbf{q}}{2\pi} \hat{b}_{\mathbf{q}, \sigma}^\dagger \hat{b}_{\mathbf{q}', \sigma}$$

### Solution of the 1 branch Luttinger model

$$\hat{H} = \sum_q v_F q (b_{q\uparrow}^\dagger b_{q\uparrow} + b_{q\downarrow}^\dagger b_{q\downarrow}) + \frac{Sg}{2\pi} (b_{q\uparrow}^\dagger b_{q\uparrow}^\dagger + b_{q\downarrow}^\dagger b_{q\downarrow}^\dagger) + \frac{Ag}{2\pi} (b_{q\uparrow}^\dagger b_{q\downarrow} + b_{q\downarrow}^\dagger b_{q\uparrow})$$

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Now define new operators:

$$\alpha_q^\dagger = \frac{1}{\sqrt{2}} (b_{q\uparrow}^\dagger + b_{q\downarrow}^\dagger) \text{ charge density}$$

$$\beta_q^\dagger = \frac{1}{\sqrt{2}} (b_{q\uparrow}^\dagger - b_{q\downarrow}^\dagger) \text{ spin density}$$

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Now

$$\alpha_q^\dagger \alpha_q + \beta_q^\dagger \beta_q = b_{q\uparrow}^\dagger b_{q\uparrow} + b_{q\downarrow}^\dagger b_{q\downarrow}; \alpha_q^\dagger \alpha_q - \beta_q^\dagger \beta_q = b_{q\uparrow}^\dagger b_{q\downarrow} + b_{q\downarrow}^\dagger b_{q\uparrow}$$

So

$$\hat{H} = \sum_q (v_F q + \frac{Sg}{2\pi}) (\alpha_q^\dagger \alpha_q + \beta_q^\dagger \beta_q) + \frac{Ag}{2\pi} (\alpha_q^\dagger \alpha_q - \beta_q^\dagger \beta_q)$$

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$$= \sum_q v_F q \alpha_q^\dagger \alpha_q + v_F q \beta_q^\dagger \beta_q$$

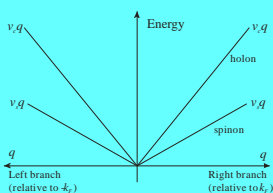
where  $v_h = v_F + \frac{S}{2\pi} + \frac{A}{2\pi}$        $v_s = v_F + \frac{S}{2\pi} - \frac{A}{2\pi}$

holon velocity

spinon velocity

### Consequences:

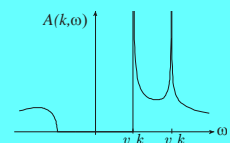
#### Excitation spectrum



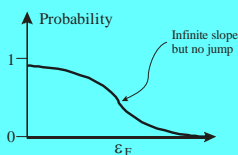
Thermodynamic quantities very similar to those of a Fermi liquid.

To observe the differences you need to probe electron like quantities: ARPES or tunnelling.

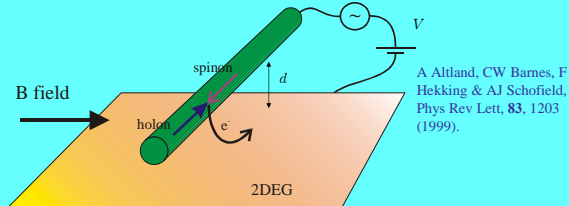
#### Spectral function



J. Voit, Phys Rev B 47, 6740 (1993)



### Spin-charge separation detectors: magneto-tunnelling proposal

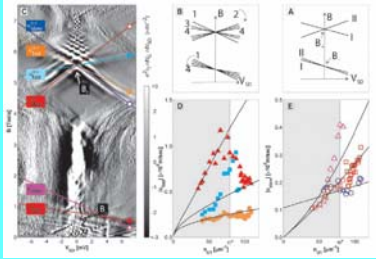


A Altland, CW Barnes, F Hekking & AJ Schofield, Phys Rev Lett, 83, 1203 (1999).

$$I(\mathbf{V}, \mathbf{B}) = \frac{4J_0}{\pi} \int d\mathbf{q} \int \frac{d\epsilon}{2\pi} [n_F(\epsilon - eV) - n_F(\epsilon)] A_{1D}(\mathbf{q}, \epsilon) A_{2D}(\mathbf{q} - e\mathbf{B}\mathbf{d} - \Delta\mathbf{q}_F, \epsilon - eV)$$

**Best experiments:**

- Some ARPES:
  - e.g. H. Ishii et al., Nature **426**, 540 (2003); P. Segovia, Nature **402**, 504 (1999); J. D. Denlinger et al., PRL **82**, 2540 (1999); C. Kim et al, PRL **77**, 4054 (1996).
- Some magneto-tunnelling (1D to 1D)



O. M. Auslaender et al., Science **308**, 88 (2005).

**Destruction of the Fermi liquid in D>1**

$$\frac{1}{\tau_c} = \frac{2\pi}{\hbar} \int_0^{\epsilon_F} g_F \omega d\omega \int_{\omega/\hbar v_F}^{2k_F} \frac{q^{d-1} dq}{(2\pi/L)^d} \frac{|D(q, \omega)|^2}{(i\omega + \eta)^2}$$

Need to make  $D(q, \omega)$  more singular:

One possibility – the Coulomb interaction:

$$\frac{\rho_c(\vec{r})\rho_c(\vec{r}')}{4\pi\epsilon_0|\vec{r}-\vec{r}'|} \rightarrow D_c(q) = \frac{\rho_c(q)\rho_c(-q)}{4\pi\epsilon_0q^2}$$

Long range implies singular at small  $q$ .

However, metals screen electric fields so ultimately there is no singularity.

$$\frac{\rho_c(\vec{r})\rho_c(\vec{r}')}{4\pi\epsilon_0|\vec{r}-\vec{r}'|} e^{-|\vec{r}-\vec{r}'|/\xi} \rightarrow D_{sc}(q) = \frac{\rho_c(q)\rho_c(-q)}{4\pi\epsilon_0(q^2 + \xi^{-d})}$$

However, metals do not screen magnetic fields so the current-current interaction in a metal leads to a long range interaction.

$$D_{Amp}(q, \omega) = \tilde{A}(\vec{q}) \cdot \vec{J} = \frac{\mu_0 \vec{q} \cdot \omega \cdot \vec{J} - q \cdot \omega}{q^2 + i\omega\mu_0 - \omega^2/c^2}$$

This does lead to the breakdown of the Fermi liquid – the Reizer singularity. M. Reizer (1989)...but is very weak effect so would only be observed at microkelvin temperatures.  $\frac{D_{Amp}}{D_c} \sim \frac{v_F^2}{c^2} \sim 10^{-9}$

**Quantum critical points**

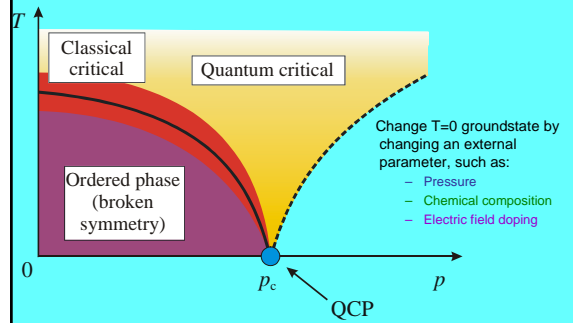
Reizer singularity physics at accessible temperatures. Create an effective long range force...



Enhance the scattering matrix elements for quasi-particles.  
Use a medium where "vortex trails" are long lived.

**Quantum critical points in itinerant Fermi systems**

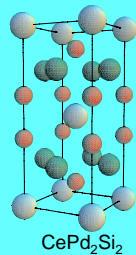
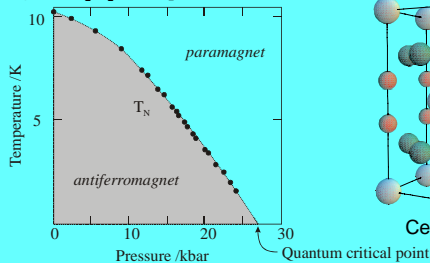
[J Hertz (1976), AJ Millis (1993), ...]



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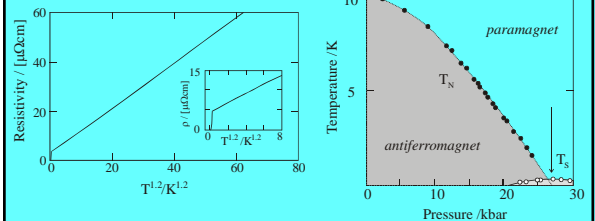
e.g. CePd<sub>2</sub>Si<sub>2</sub> under pressure



S. R. Julian et al. J. Phys: Condens. Matt., **8**, 9675 (1996)

**The effect of these quantum critical fluctuations:**

e.g. CePd<sub>2</sub>Si<sub>2</sub> [S. R. Julian et al.]



non-Fermi liquid metal:  
•  $T^{1.2}$  resistivity over 2-3 decades

New correlated states induced near the quantum critical point  
• Superconductivity.

...and the theory should be tractable!

Landau 1937

### Universality at phase transitions

$$F = \int d^3x \left[ (t - t_c) |\psi|^2 + b |\psi|^4 \right]$$

An order parameter:  $\psi$ .

$\psi = 0$

Landau 1937 Ginzburg 1951

### Universality at phase transitions

$$F = \int d^3x \left[ (t - t_c) |\psi|^2 + b |\psi|^4 + |(-i\vec{\nabla} - e^* \vec{A})\psi|^2 \right]$$

$\psi = 0$  Superconductor

Diverging correlation length:  $\xi \sim \frac{\xi_0}{(t - t_c)^{1/2}}$

Landau 1937 Ginzburg 1951 Wilson, Fisher, Kadanoff...70s

### Universality at phase transitions

$$F = \int d^3x \left[ (t - t_c) |\psi|^2 + b |\psi|^4 + |(-i\vec{\nabla} - e^* \vec{A})\psi|^2 \right]$$

$\psi = 0$  Superconductor

**Role of (interacting) fluctuations.**

- $d < d_u$  (upper critical dimension)
  - Dominate...scaling, modified exponents...
- $d > d_u$ 
  - Negligible...Gaussian results okay.

Diverging correlation length:  $\xi \sim \frac{\xi_0}{(t - t_c)^\nu}$

Hertz 76

### Quantum criticality

$$Z = e^{-\beta F} = \sum_{\psi_i} e^{-\beta \epsilon_i}$$

$$Z = \sum_{\psi_i} \langle \psi_i | e^{-\beta \hat{H}} | \psi_i \rangle$$

$[\tau] = [L]^z$

Quantum fluctuations evolve in imaginary time

$$F = \int d\tau d^D x \left[ (\mathbf{x} - \mathbf{x}_c) |\psi|^2 + b |\psi|^4 + |\vec{\nabla} \psi|^2 + \text{dissip.} \right]$$

Hertz 76

### Quantum criticality

$$Z = e^{-\beta F} = \sum_{\psi_i} e^{-\beta \epsilon_i}$$

$$Z = \sum_{\psi_i} \langle \psi_i | e^{-\beta \hat{H}} | \psi_i \rangle$$

$[\tau] = [L]^z$

Quantum fluctuations evolve in imaginary time

$$S = \int \sum_{\omega} d^D q \left[ \left( (\mathbf{x} - \mathbf{x}_c) + q^2 + \frac{i\omega}{q^{z-2}} \right) |\psi|^2 + O(b|\psi|^4) \right]$$

$D+z < d_u$

$$\tau^{-1} \propto \frac{k_B T}{\hbar}$$

$\alpha > 0$  E/T Scaling. One energy scale- the temperature.

Sachdev and Ye, PRL 69, 2411 (92).  
Sachdev, QFT, pp234 (Cambridge, 99)

$\chi'(E) = \frac{1}{E^{-\alpha}} G\left(\frac{E}{T}\right)$

$D+z > d_u$   $\tau^{-1} \propto T^{d/2} U$ , ( $z=2$ )

$\alpha = 0$  "Gaussian fixed point"  $\alpha=0$ .

T is not the only energy scale.

Typically,  $z=2$  (antiferromagnet) or 3 (ferromagnet) and  $d_u=4$  so experimental examples should be Gaussian – no scaling..

Hertz 76

### Quantum criticality

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For the Gaussian fixed point this is the inverse scattering matrix element.

$$D_{app}(q, \omega) \sim \frac{1}{(\mathbf{x} - \mathbf{x}_c) + q^2 + \frac{i\omega}{q^{z-2}}}$$

Example:  $\text{Sr}_3\text{Ru}_2\text{O}_7$   
 $\rho = \rho_0 + AT^\alpha$

S.A.Griger, R.S.Perry, A.J.Schofield, M.Chiao, S.R.Julian, G.G.Lonzarich, S.I.Ikeda, Y.Maeno, A.J.Millis, A.P.Mackenzie, Science, 294, 329 (2001).

### Quantum criticality – a theory in crisis?

See P. Coleman and A. J. Schofield, *Nature* **433**, 226 (2005)

Some of the puzzles...

- Quantum critical antiferromagnets show anomalous powerlaws in the resistivity too. (eg  $\text{CePd}_2\text{Si}_2$ ). Strictly the singular scattering should only occur between parts of the Fermi surface linked by the AFM wavevector (Hlubina and Rice).
- E/T scaling is seen:  $\text{CeCu}_{6-x}\text{Au}_x$  [A. Schroeder *et al.* (2000)] but the theory should be above its upper critical dimension.
- Quantum critical power-laws seen over a range of pressures in MnSi not just emanating from a point. [Doiron-Leyraud *et al.* *Nature* 425, 595 (2003).]

### Non-Fermi liquids: Summary

- Growing experimental evidence of metals that are not Fermi liquids:
  - High  $T_c$  cuprate metals,
  - $\text{URu}_2\text{Si}_2$  and other heavy fermion systems.
  - Various low dimensional organics.
- The Luttinger liquid state in one dimension:
  - New excitations – spinons and holons, characterized by 4 parameters.
  - Challenge to see this unambiguously in experiment...
- Quantum critical metals:
  - Many experimental examples, showing unusual metallic, superconducting (and other) transitions.
  - A theory in crisis?