

# Shift of resonance widths as a probe of non-orthogonality

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- How do resonance states change under perturbations?
- > What is their parametric statistics in chaotic regime?

## **Resonances in scattering**



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• Equivalent representation

Mahaux, Weidenmüller (1969); Livšic (1973)

$$S_{\rm res}(E) = 1 - iA^{\dagger} \frac{1}{E - \mathcal{H}_{\rm eff}} A$$

in terms of the effective non-Hermitian Hamiltonian:  $(\dim \mathcal{H}_{eff} = N)$  $\mathcal{H}_{eff} = H - \frac{i}{2}AA^{\dagger}$ , resonances  $\rightsquigarrow$  complex e.v.  $\mathcal{E}_n = E_n - \frac{i}{2}\Gamma_n$ 





 $\mathcal{H}_{\text{eff}} \to \mathcal{H}'_{\text{eff}} = \mathcal{H}_{\text{eff}} + \alpha V$ , with  $V = V^{\dagger}$  and  $\alpha \ll 1$ What is the resonance shift  $\delta \mathcal{E}_n = \mathcal{E}'_n - \mathcal{E}_n$ ?





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• Eigenvalues and bi-orthogonal (left and right) eigenstates

 $\mathcal{H}_{\text{eff}}|R_n\rangle = \mathcal{E}_n|R_n\rangle \text{ and } \langle L_n|\mathcal{H}_{\text{eff}} = \langle L_n|\mathcal{E}_n$  $\langle L_n|R_m\rangle = \delta_{nm} \text{ but } \langle L_n| \neq (|R_n\rangle)^{\dagger} \rightsquigarrow \text{ nonorthogonality matrix } U_{nm}$  $U_{nm} = \langle L_n|L_m\rangle = U_{mn}^* \neq \delta_{nm} \qquad \qquad \text{Bell, Steinberger (1959)}$ 





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• PT routine for non-Hermitian operators yields the leading term

$$\delta \mathcal{E}_n = \alpha \langle L_n | V | R_n \rangle$$

 $\rightsquigarrow$  generally complex  $\delta \mathcal{E}_n = \delta E_n - \frac{i}{2} \delta \Gamma_n$ , with sum rule  $\sum_n \delta \Gamma_n = 0$ 





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• Shift of the resonance width  $\delta \Gamma_n$  reads

 $\delta\Gamma_n = i\alpha \sum_m (U_{nm}V_{mn} - V_{nm}U_{mn})$ , where  $V_{nm} = \langle R_n | V | R_m \rangle$ 

 $\rightsquigarrow$  nonzero  $\delta \Gamma_n$  is an indicator of nonorthogonality

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Level separation  $\Delta$ , coupling  $(\hat{A}\hat{A}^{\dagger})_{12} = \sqrt{\gamma_1\gamma_2}\cos\theta$ , with  $\gamma_{1,2} = ||A_{1,2}||^2$  $\frac{1}{2} \begin{pmatrix} \Delta & 0 \\ 0 & -\Delta \end{pmatrix} - \frac{i}{2} \begin{pmatrix} \gamma_1 & \sqrt{\gamma_1 \gamma_2} \cos \theta \\ \sqrt{\gamma_1 \gamma_2} \cos \theta & \gamma_2 \end{pmatrix} + \alpha \begin{pmatrix} 1 & v \\ v & -1 \end{pmatrix}$  $\Delta = 1, \mathbf{v} = 1$  $E_1 + E_2 = 0$  $\gamma_1 = \gamma_2 = 0.5$ 0.8 (this case)  $\theta = \pi / 10$  $(E_1,\Gamma_1)$  $\Gamma_1 + \Gamma_2 = \text{const}$ 0.4 (for any  $V = V^{\dagger}$ )  $(E_2, \Gamma_2)$ 0.2  $\begin{pmatrix} 1\\if \end{pmatrix}$  and  $\begin{pmatrix} -if\\1 \end{pmatrix}$ -0.5 0.0 0.5 1.0 1.5

- Nonorthogonality matrix  $U = [(1 + |f|^2)1_2 + 2\text{Re}(f)\sigma_y]/|1 f^2|^2$ with complex  $f = \frac{\sqrt{\gamma_1\gamma_2}\cos\theta}{\delta + \sqrt{\delta^2 - \gamma_1\gamma_2\cos^2\theta}}$ , where  $\delta = \Delta - \frac{i}{2}(\gamma_1 - \gamma_2)$
- Width 'velocities' are due to the off-diagonal element of U:  $\delta \dot{\Gamma}_1 = 4v \text{Re}(f)/|1 - f^2|^2 = -\delta \dot{\Gamma}_2$

#### Weakly open systems





$$\mathcal{H}_{ ext{eff}} = H - rac{i}{2} \gamma \hat{A} \hat{A}^{\dagger}$$
, with  $\gamma \ll 1$ 

 $\rightsquigarrow$  coupling (non-Hermitian term) can be treated as a perturbation of  $H = \sum_{n} E_n |n\rangle \langle n|$ 

• Resonance positions  $E_n$  and widths  $\Gamma_n = \gamma \sum_{c=1}^M |\hat{A}_n^c|^2$ 

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 $\delta\Gamma_n = \alpha\gamma \sum_{m\neq n} \frac{\langle m|G_n|m\rangle}{E_n - E_m}, \quad \text{with } G_n = \hat{A}\hat{A}^{\dagger}|n\rangle\langle n|V + V|n\rangle\langle n|\hat{A}\hat{A}^{\dagger}|n\rangle\langle n|V + V|n\rangle\langle n|V + V|n\rangle\langle n|V + V|n\rangle\langle n|V + V$ 

... looks like a 2nd order result but it is not!

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- Result of resonance interference (interaction via common channels)
  - ... governed by rank-two operator  $G_n$

... different from other non-orthogonality measures:

- e.g. Peterman's factor  $U_{nn}$  (Schomerus et al, 2000)
- or complexness factor  $({
  m Im}\psi_n)^2/({
  m Re}\psi_n)^2$  (DS, Legrand, Mortessagne, 2006)



*H* taken from appropriate ensemble of random matrices  $\leftrightarrow \Rightarrow$  **RMT** + symmetry constraints on *H* (e.g.  $H^T = H$  for time-reversal)



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• Universality of spectral correlations: In the RMT limit  $N \to \infty$ , local fluctuations at the scale of mean level spacing  $\Delta \sim \frac{1}{N}$  are universal and described by those in Gaussian ensembles:

$$\langle (\cdots) \rangle = \text{const} \int (\cdots) \prod_{n \neq m} |E_n - E_m|^{\beta} \prod_n e^{-\frac{N\beta}{4}E_n^2} dE_n$$
  
GOE ( $\beta$ =1, with TRS) and GUE ( $\beta$ =2, without TRS)



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• Gaussian  $A_n^c$  result in  $\chi^2_{M\beta}$  distribution of the widths (at  $\gamma \ll 1$ )

$$\kappa_n = \frac{\pi \Gamma_n}{\gamma \Delta}$$
:  $\operatorname{Prob}(\kappa) \propto \kappa^{M\beta/2 - 1} e^{-\beta \kappa/2}$  Porter, Thomas (1956)

with mean  $\langle \kappa \rangle = M$  and variance  $var(\kappa) = \frac{2}{M\beta} \langle \kappa \rangle^2$ 



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 $\triangleright$  What are the statistical properties of width shifts  $\delta\Gamma_n$ ?

s (1956)



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- Geometrical representation in terms of scalar products of M-vectors with lengths  $\kappa_n$  and projections  $z_m^{(n)}$  Poli, DS, Legrand, Mortessagne (2009)
- Rescaling in natural units (to get rid of non-universal features)

$$y_n = \frac{N\delta\Gamma_n}{2\alpha\gamma\sqrt{\mathrm{Tr}(V^2)}} = \frac{\sqrt{\kappa_n}}{\pi} \sum_{m \neq n} \frac{\Delta\mathrm{Re}(z_m^{(n)*}v_m^{(n)})}{E_n - E_m}$$



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• Probability distribution of the width shifts (at the spectrum centre)

 $\mathcal{P}_M(y) = \Delta \langle \sum \delta(E_n) \overline{\delta(y - y_n)} \rangle$ 

with  $\langle (\cdots) \rangle$  over  $\kappa_n$ , and  $E_n$  and  $\overline{(\cdots)}$  over normal  $z_m^{(n)}$  and  $v_m^{(n)}$ 



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• Reduction to the spectral determinant problem for F.T.

$$\overline{e^{-i\omega y_n}} = \prod_{m \neq n} \frac{|E_n - E_m|^{\beta}}{[(E_n - E_m)^2 + \kappa_n (\omega \Delta / \pi \sqrt{\beta})^2]^{\beta/2}}$$
  
$$\rightsquigarrow \operatorname{const} \left\langle \frac{\det(H_1)^{2\beta}}{\det[H_1^2 + (\omega \Delta / \pi \sqrt{\beta})^2]^{\beta/2}} \right\rangle_{N-1} \equiv C_{N-1}^{(\beta)}(\omega)$$

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$$\mathcal{P}_{M}(y) = \int_{0}^{\infty} \frac{d\kappa}{\sqrt{\kappa}} \chi_{M\beta}^{2}(\kappa) \,\phi^{(\beta)}\left(\frac{y}{\sqrt{\kappa}}\right), \qquad \text{with} \begin{cases} \phi^{(1)}(y) = \frac{4+y^{2}}{6(1+y^{2})^{5/2}} \\ \phi^{(2)}(y) = \frac{35+14y^{2}+3y^{4}}{12\pi(1+y^{2})^{4}} \end{cases}$$

where  $\phi^{(\beta)}(y)$  is the F.T. of spectral determinant  $C_{\infty}^{(\beta)}(\omega)$ 









•  $var(\Gamma)$  as a control parameter for nonorthogonality

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#### **Conclusion & outlook**

- Parametric motion of resonance states in open systems
- Access to spatial properties via purely spectral tools
- Shift of resonance widths as a signature of nonorthogonality
- Distribution of width shifts in weakly open chaotic systems

Fyodorov & Savin: PRL 108, 184101 (2012)



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- Further study:
  - Global vs local perturbations
  - Generalisation to arbitrary modal overlap
  - Correlation properties, and other statistics
  - ▷ "Making sense" of pseudospectra (e.g.  $U_{nn}$  = condition number)

