

# The momentum band density of periodic graphs

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Joint work with Gregory Berkolaiko

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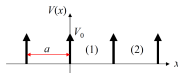
# Periodic potentials

Electrons in a periodic structure



E.g., Kronnig-Penny model  $\left(-\frac{d^2}{dx^2} + V_0 \sum_{n=-\infty}^{\infty} \delta(x - na)\right) \psi = k^2 \psi$

Gives rise to band structure (measured in terms of  $k$ ).

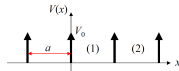


# Periodic potentials

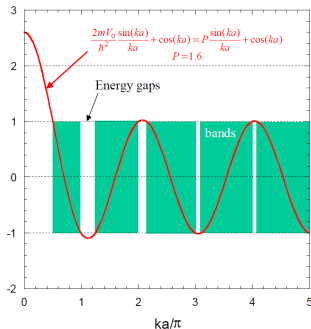
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- Band width  $\rightarrow$  constant  
 $k \rightarrow \infty$
- Gap width  $\rightarrow 0$   
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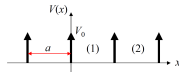
$\Rightarrow$  Average band density = 1

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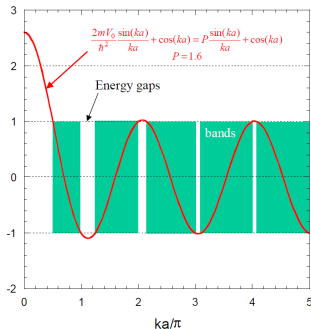
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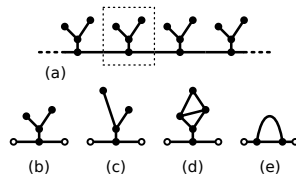
- Gap creation mechanisms
- Bohr-Sommerfeld conjecture - occurrence of a finite number of gaps

# Periodic graphs

Consider  $-\frac{d^2}{dx^2}\psi = k^2\psi$  on a periodic graph.

$p_\sigma :=$  the band density, i.e., the probability that a randomly chosen momentum belongs to the spectrum  $\sigma$ .

How does  $p_\sigma$  depends on the decoration graph?



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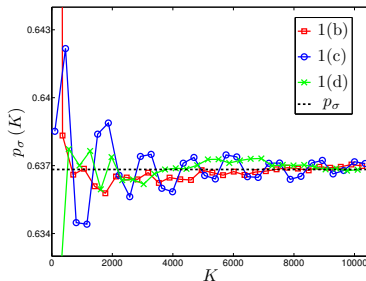
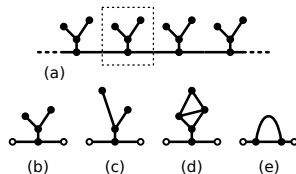
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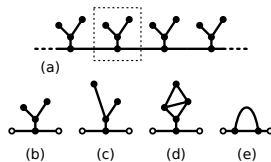
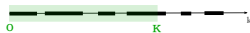
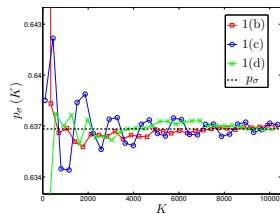


Denote by  $p_\sigma(K)$  the band density in  $[0, K]$

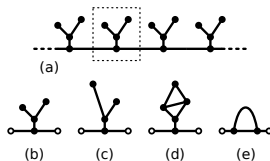
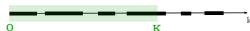
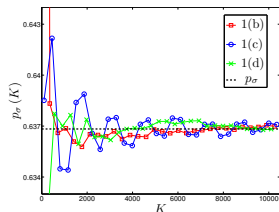
so that  $p_\sigma := \lim_{K \rightarrow \infty} p_\sigma(K)$



# Periodic graphs



# Periodic graphs



## Theorem (RB, Berkolaiko)

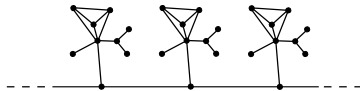
- ① *The limit  $p_\sigma := \lim_{K \rightarrow \infty} p_\sigma(K)$  exists.*
- ② *If there exists at least one gap, then  $p_\sigma < 1$ .  
If there exists at least one non-flat band, then  $p_\sigma > 0$ .*
- ③ *If the edge lengths are incommensurate, then  $p_\sigma$  does not depend on their specific values.*
- ④  *$p_\sigma$  is independent on some details of the decoration's topology.*

Remark - the theorem is also valid for more than  $1d$  periodicity.



# Periodic is Magnetic

The band structure of graphs - previous results:  
*metric* - Avron, Exner, Last ('94); Kuchment ('04);  
Brüning, Geyler, Pankrashkin ('07)  
*discrete* - Schenker, Aizenman ('00)

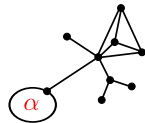


## Fact (An equivalent problem)

a compact graph with a magnetic flux:

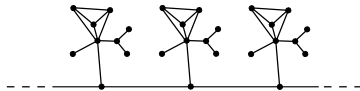
$$\left(-i\frac{d}{dx} + A(x)\right)^2 \psi = k^2 \psi ,$$

with magnetic flux  $\alpha = \oint_{\text{cycle}} A(x) dx$ .



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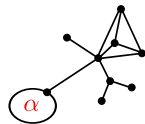
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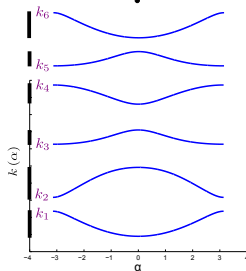
The  $n^{\text{th}}$  band is  $B_n := [\min_{\alpha} k_n(\alpha), \max_{\alpha} k_n(\alpha)]$

$$p_{\sigma}(K) := \frac{|(\cup_n B_n) \cap [0, K]|}{|[0, K]|}$$

$$p_{\sigma} := \lim_{K \rightarrow \infty} p_{\sigma}(K)$$



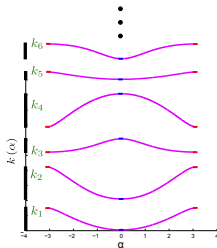
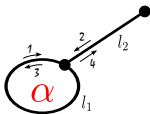
⋮



# A glance at the proof

## Theorem (RB, Berkolaiko)

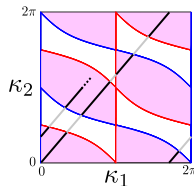
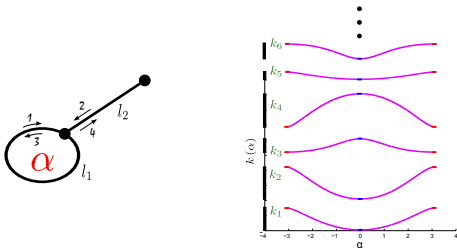
- 1 The limit  $p_\sigma = \lim_{K \rightarrow \infty} p_\sigma(K)$  exists.
- 2 If there exists at least one gap, then  $p_\sigma < 1$ .
- 3 If edge lengths are incommensurate, then  $p_\sigma$  does not depend on their specific values.
- 4  $p_\sigma$  is independent on some details of the decoration's topology.



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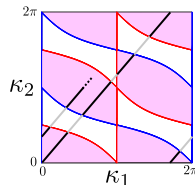
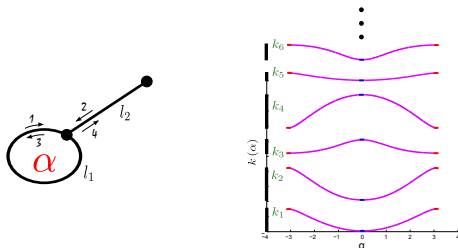


Torus idea from Barra, Gaspard ('00)

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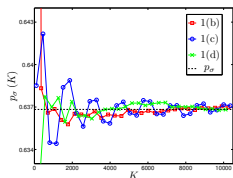
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Torus idea from Barra, Gaspard ('00)

Due to ergodic motion,  $p_\sigma$  equals the shaded area on the torus.

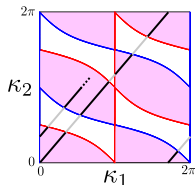
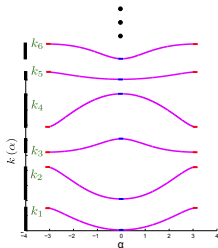
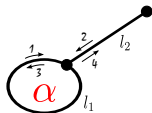
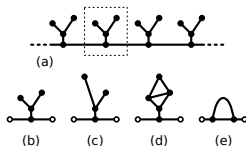
# A glance at the proof



$$p_\sigma = \frac{2}{\pi^2} \int_0^\pi \arctan(2 \cot(\theta/2)) d\theta$$

$$\approx 0.637$$

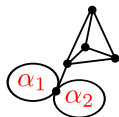
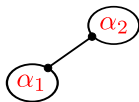
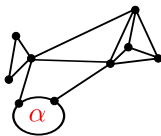
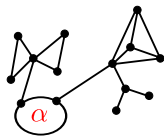
for all decorations (b)-(d)



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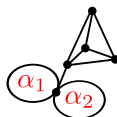
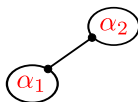
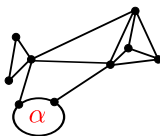
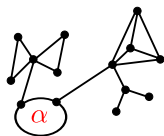
## Further directions

- How does  $p_\sigma$  depend on the topology of the decoration and the periodicity?

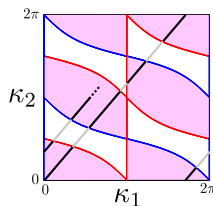


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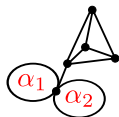
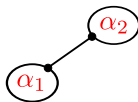
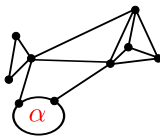
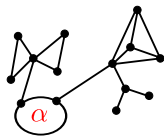
- Bounds on possible sizes of bands and gaps



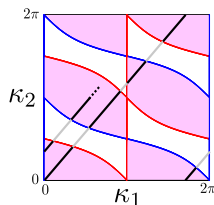


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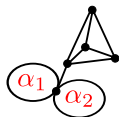
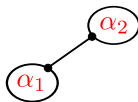
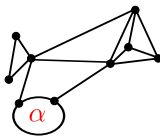
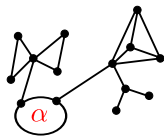


- Bounds on possible sizes of bands and gaps
- Understanding better the gap opening mechanism

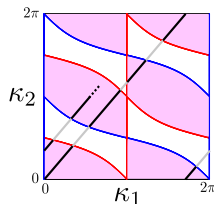


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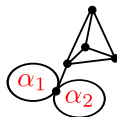
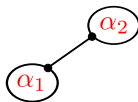
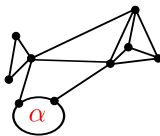
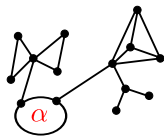


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- Understanding better the gap opening mechanism
- Adding potentials and non-trivial vertex conditions



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- How does  $p_\sigma$  depend on the topology of the decoration and the periodicity?



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- Understanding better the gap opening mechanism
- Adding potentials and non-trivial vertex conditions
- Nodal count of the eigenfunctions on the edges of the Brillouin zone

